



BEST AVAILABLE COPY



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

REC'D 28 DEC 2004

WIPO PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

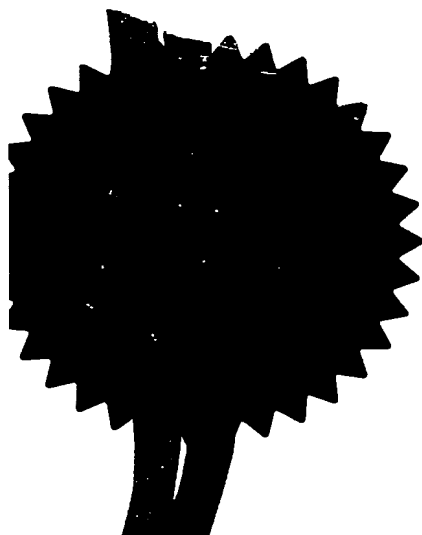
In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed *AmBrewer*

Dated 23 November 2004

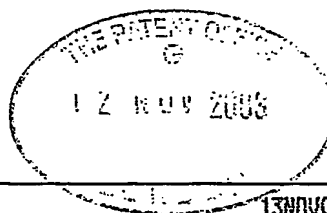


Patent Office

Patent Office
(Rule 16)

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)



The Patent Office
Cardiff Road
Newport
Gwent NP10 8QQ

1. Your reference

A30436

13NOV03 E851622-1 D03052
P01/7700 0.00-0326374.6

2. Patent application number
(The Patent Office will fill in this part)

12 NOV 2003

0326374.6

3. Full name, address and postcode of the or of each applicant (underline all surnames)

BRITISH TELECOMMUNICATIONS public limited company
81 NEWGATE STREET
LONDON, EC1A 7AJ, England
Registered in England: 1800000

Patents ADP number (if you know it)

1867002

If the applicant is a corporate body, give the country/state of its incorporation

UNITED KINGDOM

4. Title of the invention

OBJECT DETECTION IN IMAGES

5. Name of your agent (if you have one)

"Address for Service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Legal
Intellectual Property Department
BT Centre
81 Newgate Street
LONDON EC1A 7AJ

Patents ADP number (if you know it)

1867001

08591919001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)

Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day/month/year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

- a) any applicant named in part 3 is not an inventor, or
- b) there is an inventor who is not named as an applicant, or
- c) any named applicant is a corporate body.

YES

(See note (d))


- Enter the number of sheets for any of the following items you are filing with this form.
☐ not count copies of the same document

Continuation sheets of this form -

Description 14

Claim(s) 2

Abstract 1

Drawing(s) 5 + 5 

10. If you are also filing any of the following, state how many against each item

Priority Documents

Translations of priority documents

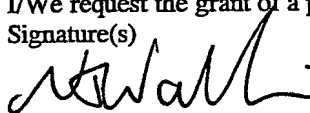
Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77) YES

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11. I/We request the grant of a patent on the basis of this application.
Signature(s) Date:



12 November 2003

WALLIN, Nicholas James, Authorised Signatory

12. Name and daytime telephone number of person to contact in the United Kingdom

Rod HILLEN

020 7492 8140

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

Object Detection in Images

Technical Field

The present invention relates to a method and system for detecting moving
5 objects in images, and in particular to a method and system which detects moving objects
taking into account lighting shadows and highlights of those objects.

Background to the Invention and Prior Art

The automatic detection of moving objects such as people or vehicles within
10 video images of a scene has been a goal of many researchers, and is a precursor to the
provision of automated classification or tracking applications. Additionally, automated
object detection systems are in themselves of use for monitoring and detection
applications. The actual step of discriminating between picture elements (pixels) within an
image which represent foreground or moving objects of interest and the background
15 scene is known generally within the art and herein as "segmentation".

One of the problems known in the art relating to the automated detection of
objects is of how to compensate for changes in lighting conditions, and in particular of how
to discern between an actual object, and a shadow or other highlight that that object may
cast or otherwise contain. Especially within object classification or tracking systems, the
20 need to be reasonably certain that it is the object which has been detected and not its
shadow is important for subsequent matching steps, and hence techniques have been
proposed in the art which detect and remove segmented pixels caused by shadows and
highlights.

More particularly, McKenna et al. in "Tracking Groups of People", *Computer*
25 *Vision and Image Understanding*, **80**, 42-56, 2000 describe a pixel segmentation
technique wherein an adaptive background image is employed, which recursively adapts
a background image to take into account changes in illumination (which are assumed to
be slow compared to object movement). A colour channel background subtraction
technique is then performed, wherein for any particular input image, the RGB channels of
30 the input image pixels are compared with the adaptive background, and dependent on the
results of a logical comparison of the respective input and background R, G, or B values a
pixel is set as either "foreground" or "background". The map of "foreground" pixels
constitutes a mask which is then used subsequently for further processing.

Due to it having been generated using a colour-difference background
35 subtraction technique the mask of foreground pixels contains pixels which represent

object shadows and/or highlights. Therefore, McKenna et al. also describe a second pixel segmentation technique wherein shadows are detected using pixel gradient and chrominance information. More particularly, and as described in Horprasert et al. "A Statistical Approach for Real-time Robust Background Subtraction and Shadow Detection"

5 *IEEE ICCV'99 FRAME_RATE workshop*, it is known that shadows exhibit a colour constancy property in that the chromaticity of a pixel which is in shadow does not significantly differ from the chromaticity of that same pixel when it is not in shadow. Instead, the only change lies in the luminance of the pixel. This colour constancy is therefore used as a first discriminator by McKenna et al in that they assume that any pixel
10 with a significant intensity change in comparison with the background, but without a significant chromaticity change could have been caused by a shadow.

However, McKenna et al. also note that the above colour constancy discriminator fails when a foreground object is the same chromaticity as the background e.g. when black trousers cross a grey footpath. Therefore, McKenna et al. also describe using pixel
15 gradient information of the input and background images to perform a comparison there between, on the basis that if an input pixel is a shadow pixel, then its texture information should not have changed much from its background value. A pixel is thus flagged as foreground if either chromaticity or gradient information supports that classification.

Having performed pixel segmentation using the above technique, McKenna et al
20 then perform a connected component analysis to identify connected objects. They note that due to the chromaticity and gradient discrimination a connected object may contain "holes", in that pixels wholly located within the boundaries of the connected pixels and which should have been segmented as foreground are erroneously segmented as background, and hence not part of the connected object. To remedy this, reference is
25 made to the "mask" produced by the RGB subtraction method described earlier, in that each "background" pixel which is part of a hole in a connected object is set to foreground if the mask from the RGB subtraction indicates that it is foreground. Thus the holes within the connected objects may be removed, and foreground and background segmentation performed which takes into account shadows.

30 Whilst the colour constancy and gradient techniques described by McKenna et al. are effective at identifying shadows for the purposes of a foreground-background pixel segmentation, the technique described by McKenna et al presents some problems when used in real situations due to the connected component analysis being performed before taking into account the "holes" due to the segmentation. For example, if the "holes" due to
35 the segmentation actually overlap and completely bisect an object, then the connected

component analysis will not recognise the two blobs as a single object, but instead as two separate objects. As a consequence, overlapping "holes" will not be recognised as such, and hence cannot be filled in by reference to the RGB subtraction mask. Conversely, until the connected component analysis is performed the "holes" themselves are not identified, and hence filled. The result of this paradox is that in some situations two smaller connected objects may be identified where in reality only one object exists.

Summary of the Invention

The present invention improves upon the prior art discussed above by providing an improved pixel segmentation technique which accounts for "holes" left in the segmentation due to the shadow processing prior to performing a connected component analysis to identify connected objects. This is achieved by applying a foreground segmentation technique as is known in the art to give a "mask" for the boundary of any segmented blobs (a "blob" being a group of adjacent segmented pixels), and applying shadow processing techniques similar to those described by McKenna et al. to identify shadows and highlights in the input image. As also mentioned by McKenna et al. the shadow processing results in "skeleton" segmented blobs being left, which blobs may contain holes, be reduced in size, or even bisected in comparison to the blobs obtained from the background subtraction. According to the invention, however, before applying connected component analysis to the blobs to find connected objects a morphological dilation operation is applied to the skeleton blobs to dilate the pixels therein, the dilation operation being repeatedly applied so as to reconstruct the skeleton blobs until the reconstructed blobs touch the respective boundary of the mask of the corresponding blob (located at the substantially same position in the image as the blob or blobs being reconstructed) obtained from the background subtraction. By "growing" the blobs using a morphological dilation operation then any holes or bisections in the "skeleton" blobs obtained from the shadow processing can generally be filled in to give a re-constructed blob, before connected component analysis is performed to identify the blob as an object. Moreover, by conditionally performing the dilation operation for any blob only until the respective blob mask from the background subtraction step is touched or just overlapped, it can be assured that shadows will not be included in the re-constructed blob, because shadows are generally extended areas of the original object shape along a certain direction.

In view of the above overview, from a first aspect the present invention presents an image processing method for detecting objects within an input image, the image being composed of picture elements, the method comprising:

5 a) segmenting picture elements representing a foreground object within the input image from those picture elements forming the image background using a first segmentation technique, wherein the picture elements segmented as foreground include elements representing a shadow or highlight of the object; and

10 b) segmenting picture elements which have the characteristics of a shadow or highlight of an object from those picture elements representing the foreground object using at least one other segmentation technique adapted to detect shadows and/or highlights;

the method being characterised by further comprising the steps of:-

c) segmenting as foreground surrounding picture elements to those picture elements which are already segmented as foreground;

15 d) repeating step c) until picture elements which were not segmented as foreground after step a) would be or are segmented as foreground; and then

e) detecting as objects groups of adjacent picture elements which have been segmented as foreground.

20 By performing the additional segmenting step c) before the detection of any objects using a connected component analysis or the like then any artefacts such as holes, bisections or the like in a group of adjacent segmented pixels representing an object which have arisen due to the shadow removal processing can be compensated for by removal. In effect, the segmenting step c) is a morphological dilation operation which "grows" the skeleton blobs remaining after the shadow removal processing operation such
25 that the blobs are merged back into one blob (in the case of a bisection), or any holes in the blob filled prior to the object detection step. The overall effect of the invention is thus to ensure that when the object detection step is performed then a single object will be detected for each object in the scene, but that any shadows or highlights in the object will not affect the object detection. The object detection technique of the invention is therefore
30 robust to illumination changes causing shadows.

In a preferred embodiment of the invention the segmenting step a) preferably further comprises:

for each picture element of the input image which is to be segmented as foreground, validating the foreground segmentation by comparison of the picture element
35 with probability models relating to surrounding picture elements, wherein the foreground

segmentation is confirmed if at least half of the models indicate that the picture element is foreground. This helps to prevent "false foreground" segmentation of pixels, which may be caused by noise in the input image, or by the image capture means such as a camera being unstable.

5 From a second aspect the present invention also provides a computer program or suite of programs arranged such that when executed on a computer system the program or suite of programs causes the computer system to perform the method of the first aspect. Moreover, from a further aspect there is also provided a computer readable storage medium storing a computer program or suite of programs according to the third
10 aspect. The computer readable storage medium may be any suitable data storage device or medium known in the art, such as, as a non-limiting example, any of a magnetic disk, DVD, solid state memory, optical disc, magneto-optical disc, or the like.

From a fourth aspect, the present invention also provides an image processing system for detecting objects within an input image, the image being composed of picture
15 elements, the system comprising:-

image processing means arranged to receive an input image to be processed, and to apply the following image processing operations thereto:-

a) to segment picture elements representing a foreground or moving object within the input image from those picture elements forming the image background using a
20 first segmentation technique adapted to detect differences in the input image from a general background image, wherein the picture elements segmented as foreground include elements representing a shadow or highlight of the object;

b) to segment picture elements which have the characteristics of a shadow or highlight of an object from those picture elements representing the foreground object
25 using at least one other segmentation technique adapted to detect shadows and/or highlights;

and being characterised by further applying the following operations:-

c) to repeatedly segment as foreground surrounding picture elements to those picture elements already segmented as foreground until picture elements which
30 were not segmented as foreground after step a) would be or are segmented as foreground ; and then

e) to detect as objects groups of adjacent picture elements which have been segmented as foreground.

Within the fourth aspect the same advantages, and same further features and
35 advantages are obtained as previously described in respect of the first aspect.

BRIEF DESCRIPTION OF THE DRAWINGS

Further features and advantages of the present invention will become apparent from the following description of an embodiment thereof, presented by way of example only, and by reference to the accompanying drawings, wherein:-

Figure 1 is a schematic block diagram of a system according to the embodiment of the present invention;

Figure 2 is a flow diagram illustrating the steps performed by the embodiment of the present invention;

10 Figure 3 is a vector diagram illustrating the principal of colour constancy used in the embodiment of the present invention;

Figure 4 is a map of a morphological operator used in the embodiment of the present invention;

15 Figure 5 is an example adapted background image used in an embodiment of the present invention;

Figure 6 is an example input image with a shadow highlighted which may be used as input to the embodiment of the present invention;

Figure 7 is an example video image used as input to the embodiment of the present invention in an example;

20 Figure 8 is a segmentation mask image generated by a first segmentation technique applied to Figure 7 within the embodiment;

Figure 9 is a segmentation map resulting from the removal of shadows and highlights by the embodiment; and

25 Figure 10 is a segmentation map corresponding to the image of Figure 7 after the morphological dilation operation of the invention has been applied.

DESCRIPTION OF AN EMBODIMENT OF THE INVENTION

An embodiment of the present invention will now be described with respect to the figures, and an example of the operation of the embodiment given.

30 Figure 1 illustrates an example system architecture which provides the embodiment of the invention. More particularly, as the present invention generally relates to an image processing technique for detecting objects within input images, the invention is primarily embodied as software to be run on a computer. Therefore, the system architecture of the present invention comprises a general purpose computer 16, as is well
35 known in the art. The computer 16 is provided with a display 20 on which output images

generated by the computer may be displayed to a user, and is further provided with various user input devices 18, such as keyboards, mice, or the like. The general purpose computer 16 is also provided with a data storage medium 22 such as a hard disk, memory, optical disk, or the like, upon which is stored programs, and data provided
5 by the embodiment of the invention.

More specifically, on the data storage medium 22 is stored data 24 corresponding to an adaptive background image, data 28 corresponding to an input image, and data 30 corresponding to working data such as image data, segmentation maps, or the like used as intermediate storage during the operation of the invention. Additionally stored on the
10 data storage medium 22 is executable program code in the form of programs such as the control program 28, the background adaptation program 32, the foreground segmentation program 36, the connected component program 26, the shadow detection program 34, and the blob reconstruction program 38. The operation of each of these programs will be described in turn later.

15 In order to facilitate operation of the embodiment, the computer 16 is arranged to receive images from an image capture device 12, such as a camera or the like. The image capture device 12 may be connected directly to the computer 16, or alternatively may be logically connected to the computer 16 via a network 14 such as the Internet. The image capture device 12 is arranged to provide sequential video images of a scene in
20 which objects are to be detected, the video images being composed of picture elements (pixels) which take particular values so as to have particular luminance and chrominance characteristics. The colour model used for the pixels output from the image capture device 12 may be any known in the art e.g. RGB, YUV, etc.

In operation, the general purpose computer 16 receives images from the image
25 capture device 12 via the network, or directly, and then runs the various programs stored on the data storage medium 22 so as to process the received input image in order to detect objects therein. A more detailed description of the operation of the embodiment will now be undertaken with respect to Figure 2.

Figure 2 illustrates a flow diagram of the operation of the embodiment of the
30 invention. Starting at step 2.2, here the general purpose computer 16 receives an input image to be analysed using the invention from the image from the image capture device 12, either via the network 14, or directly. The embodiment of the invention then acts to analyse the contents of the received input image so as to detect foreground objects of interest therein. Within this embodiment, "foreground" objects are taken to be moving
35 objects, or other objects of interest. The process of detecting foreground objects relies on

effectively segmenting the pixels representing the foreground objects from pixels representing the background of the scene in the image, and then performing a connected component analysis on the segmented foreground images in order to characterise them as objects.

5 Referring back to Figure 2, the general purpose computer operates to process the received image under the control of the control program 28. That is, the control program 28 controls the order of processing to be applied to received input images, and runs the other programs to perform their respective processing operations in turn, passing input data and receiving processed data therefrom as appropriate. In view of this, the first
10 processing to be performed once the input image has been received is to perform a segmentation of those images that do not belong to the background scene. Within the present embodiment the adaptive background subtraction method proposed by Stauffer and Grimson in "Learning Patterns of Activity Using Real Time Tracking", *IEEE Trans on Pattern Analysis And Machine Intelligence*, 22(8), August 2000 was adopted. Here, a
15 mixture of K Gaussian distributions is used to model the colour changes, at each pixel location, in the imaged scene over time. With each incoming frame the Gaussian distributions are updated, and then used to determine which pixels are most likely to result from a background process. This model allows a proper representation of the background scene undergoing slow lighting and scene changes, but not momentary
20 variations such as swaying trees/flags in the wind.

In view of the above, within the present embodiment at step 2.4 foreground pixels are segmented from the input image 28, using the Stauffer and Grimson technique, and at step 2.6 the adaptive background image 24 is updated to take into account the received input image, and the updated image stored in the data storage medium 22. Full details of
25 the necessary procedures to undertake step 2.4 are described in Stauffer and Grimson, *ibid.* sections 3.1 and 3.2, the contents of which necessary for allowing the intended reader to understand the present invention being incorporated herein by reference. Regarding step 2.6, the background pixels are obtained as follows: the pixel colours in the background image assume those of the incoming image if they are classified as
30 background at step 2.4. In the case that the incoming pixels have been classified as foreground, then the mean of the Gaussian distribution with the largest weight at the lowest variance (the most probable background colour in the pixel) is chosen as the background pixel colour. As a result an updated background image can be obtained for every incoming frame. Within the embodiment the segmentation step 2.4 is performed by
35 the foreground segmentation program 36, and the background adaptation step 2.6 is

performed by the background adaptation program 32, both under the control of the control program 28. An example background image from the sequence of input images used to generate the example images shown herein is shown in Figure 5. An example input image with the shadow cast by an object circled is shown in Figure 6.

5 The result of the segmentation performed at step 2.4 is that a map of segmented pixels is obtained, as shown in Figure 8, for the example input image depicted in Figure 7. The foreground pixels thus obtained, however, are not exempt from false detections due to noise in the background or from a shaking camera. Hence, at step 2.8 a false foreground pixel suppression procedure is introduced to alleviate this problem. Here,
10 when a pixel is initially classified by the segmentation performed at step 2.6 as a foreground pixel, its eight connected neighbouring pixels models are examined. If the majority of these models, when applied to this pixel, agree that it is a background pixel, then it is considered as a false detection, and removed from the foreground segmentation. This "false foreground" test is applied to every pixel which was segmented at step 2.4
15 using the Stauffer and Grimson technique, and hence the segmentation as foreground for each of these pixels is validated.

Following the "false foreground" validation, the map of segmented pixels as shown for example in Figure 8 is stored at step 2.10, for use as a "mask" of segmented pixels later in the process. This map of segmented pixels is stored in the working data
20 area 30 of the data storage medium 22.

Once the foreground pixels have been identified, a further detection technique is applied to find out if some of these foreground pixels correspond to regions likely to be cast shadows or highlights. As explained in Horprasert et al "A Statistical Approach for Real Time Robust Background Subtraction and Shadow Detection", *ICCV'99 FRAME*
25 *RATE workshop* at section 4.2, a pixel can be considered a shadow pixel if it has similar chromaticity but lower brightness than those of the same pixel in the background image, or can be considered as a highlight pixel if it has similar chromaticity but higher brightness than the corresponding pixel in the background image. This characteristic of shadow or highlight pixels is referred to as "colour constancy" in that the chromaticity of the shadow
30 or highlight pixels in an input image is similar to or identical to the corresponding pixel in the background image, with the only difference being in the luminance of the input image pixels. Therefore, as a first step in detecting shadows and/or highlights at step 2.12 the colour distortion ("CD"), and brightness distortion ("BD") is calculated as described by Horprasert et al and as shown in Figure 3 herein, between respective foreground pixels
35 and the corresponding pixels of the adaptive background image stored at step 2.6, and

possible shadows are detected. More particularly, at step 2.12 within the embodiment aggressive thresholds are used for the colour and brightness distortion, and in particular the following logical conditions are applied:-

```

5      IF CD < 10 THEN
          IF 0.5 < BD < 1.0  -> SHADOW
          IF 1.0 < BD < 1.25 -> HIGHLIGHT
          ELSE FOREGROUND

```

10 In other embodiments of the invention the thresholds may differ from those given above; the precise values of the thresholds should be found by calibration of any particular implementation.

Those segmented pixels which are identified as either shadow or highlight pixels by the above logical conditions are then marked for removal from the foreground
 15 segmentation map. Note that in the embodiment the above steps are performed by the shadow detection program 34, under the control of the control program 28.

Although the above colour constancy test is effective in detecting shadows, it was observed that the procedure was less effective in cases where the objects of interest have similar colours to that of presumed shadows. To correct this, a second process
 20 comparing the gradients/textures of the regions of the segmented pixels is also used, in a similar manner to that described by McKenna et al at section 3.2 of their paper "Tracking Groups of People", referenced above. More particularly, at step 2.14 within the embodiment of the invention the shadow detection program 34 acts to calculate the spatial gradients of those pixels of the input image which are marked as segmented
 25 according to the present segmentation map, and then compares the calculated gradients with correspondingly calculated gradients of the respective corresponding pixels from the adaptive background, as described in detail by McKenna et al in section 3.2 of their paper, which section is incorporated herein by reference. The segmented pixels of the input image which are found to have a similar gradient to the adaptive background are then
 30 marked as candidates for removal from the foreground segmentation map.

The result of the operations of the steps 2.12 and step 2.14 are that both shadow and highlight pixels are marked for removal from the segmentation map, but at this stage no segmented pixels have yet been removed from the segmentation map. At step 2.16, therefore, the shadow detection program 34 identifies those pixels which were identified at
 35 both steps 2.12 and 2.14 as being candidates for shadow or highlight pixels, and removes

those pixels from the segmentation map. As a consequence, those pixels which exhibited both colour and gradient similarity with the background are detected as shadows or highlights, and removed from the object segmentation.

As an aside, although within the preferred embodiment a pixel is only removed from the segmentation at step 2.16 if it was marked as a potential shadow/highlight pixel by both steps 2.12 and 2.14, in alternative embodiments this need not be the case, and a pixel may be removed from segmentation if either one or the other, or both, of the tests performed at steps 2.12 and 2.14 indicates the pixel to be a shadow/highlight pixel. This may result in even more pixels being identified as shadow/highlight pixels and being removed from the foreground segmentation than in the preferred embodiment where both tests must be met.

Returning to the main embodiment, because of the aggressive application of the shadow removal techniques (due to the thresholds chosen for the logical conditions) it will also be found that many segmented pixels which properly represent the objects of interest will also have been removed from the segmentation map. An example segmentation map produced by the application of steps 2.12 to 2.16 is shown in Figure 9 from where it will be seen, with comparison to the corresponding Figure 8 which illustrates the segmentation map prior to the performance of the steps, that the segmented pixels representing the objects of interest are much reduced, and exhibit both holes and bisections within and of the group of segmented pixels representing the objects. However, it should also be noted that the segmented pixels which represent shadow pixels, which are very prominent in Figure 8, have been completely removed within Figure 9.

As will be seen from Figure 9, however, the map of segmented pixels stored at step 2.16 contains a speckle of erroneously segmented pixels therein, and hence at step 2.17 speckle noise removal on the segmentation map is performed in order to suppress isolated noisy foreground pixels. This speckle noise removal technique is performed using a morphological operator as shown in Equation 1:

$$\tilde{M} = M \cap (M \oplus N) \quad \text{Equation 1}$$

30

where N is the structuring element shown in Figure 4. From Figure 4, it will be seen that N is a 3 x 3 structuring element comprising the four-connected neighbours of the origin, but that the origin is not included. Additionally, the term $(M \oplus N)$ in equation 1 identifies all the pixels that are four-connected to, i.e. next to, a pixel of M. Hence, \tilde{M} identifies all the

pixels that are in M and also have a four-connected neighbour and, therefore, these points correspond to all the points in M except the isolated ones. The resulting speckle free segmentation map resulting from this speckle filtering step is then stored in the working data area 30 of the storage medium 22.

5 If a connected component analysis to find objects were to be performed on the blobs of Figure 9 following shadow removal, then due to the various holes and bisections within the groups of pixels various erroneous objects would be detected. Therefore, the embodiment of the invention provides that the various holes and bisections in the segmented blobs of pixels are removed and this is performed at step 2.18 by the repeated
10 application of a morphological dilation operation to the segmented pixels in the segmentation map, to segment surrounding pixels to the presently segmented pixels. This morphological dilation operation is repeatedly performed until the segmented blobs touch or just overlap the boundary of the corresponding respective blob of segmented pixels produced from the segmentation at step 2.4 (i.e. Figure 8), whereupon the dilation
15 operation is then stopped. The effect of this is to "grow" the segmented blobs left over after the shadow removal process so as to fill in any holes or bisections within the blobs of segmented pixels.

More specifically, at step 2.18 a dilation operation using a 9 x 9 block structuring element (with each block of the element being set to "1") is performed on the foreground
20 segmented pixels of the segmentation map corresponding to Figure 9 by the blob reconstruction program 38 to segment surrounding pixels to the already segmented pixels as foreground pixels. Then, at step 2.20 the area of segmented blobs in the segmentation map thus obtained is compared with the segmented blobs within the stored mask, being the segmentation map obtained from the segmentation step of step 2.4 i.e. the Stauffer
25 and Grimson segmentation. At step 2.22 an evaluation is performed to determine whether any of the segmented blobs obtained as a result of the morphological dilation touch or overlap the segmented blobs of the mask segmentation map. If this evaluation determines that there is no touching or overlapping of the mask segmentation blobs, then processing returns in a loop to the morphological dilation step 2.18 which is performed
30 again. The loop comprising the steps 2.18, 2.20, and 2.22 is repeatedly performed until the evaluation of step 2.22 returns positive, in which case the loop is then ended. Formally, therefore, segmented blobs within the segmentation map are reconstructed according to Equation 2:

$$R = M_s \cap (\tilde{M} \oplus SE)$$

Equation 2

where M_s is the mask image obtained from the segmentation of step 2.3, \tilde{M} the segmented pixels after the shadow/highlight removal steps of s.2.12 to 2.16, and SE the structuring element whose size usually depends on the size of the objects; as mentioned above a 9×9 square element proved to work well in all our tests, but other size structuring
 5 elements which result in a dilation may be used. The underlying idea of this morphological dilation step is that the shadow removed blobs keep at least a number of points that have been robust to wrong shadow removal. These robust points are appropriate for leading the reconstruction of neighbouring points as long as they form part of the silhouette in the original blob obtained from the segmentation of step 2.4.

10 The resulting segmentation map output by the blob reconstruction program 38 once the processing loop has ended should resemble the example shown in Figure 10 from which it will be seen when compared to Figure 9 that the segmented pixel blobs have been reconstructed, and any holes or bisections therein have been removed. It will also be seen, moreover, that the reconstructed segmented pixel blobs of Figure 10 do not
 15 contain any substantial shadow pixels within the segmentation. The segmented pixel blobs thus obtained in Figure 10 can therefore be used as input to a classical eight connectivity connected component analysis algorithm to link all the pixels presumably belonging to one object into a detected object, respectively. Thus, at step 2.24 a connected component analysis is performed on the segmentation map obtained from the
 20 processing loop by the connected component program 26, in order to detect objects from the segmented blobs contained within the segmentation map. Data identifying the detected objects is then stored within the working data area 30 of the storage medium 22, and may be used subsequently as an input to an object classification application, an object tracking application, or the like. Alternatively, the embodiment of the invention may
 25 be used on its own solely to detect objects, and to display the objects on the display 20 to a user.

In other embodiments, a further action may be performed if one or more objects are detected in the input image, such as, for example, the computer 16 causing an output device to give an audio or visual indication of the detection of an object to a user. The
 30 embodiment of the invention is therefore particularly suitable for use in monitoring applications and the like.

Unless the context clearly requires otherwise, throughout the description and the claims, the words "comprise", "comprising" and the like are to be construed in an inclusive as opposed to an exclusive or exhaustive sense; that is to say, in the sense of "including,
 35 but not limited to".

Moreover, for the avoidance of doubt, where reference has been given to a prior art document or disclosure, whose contents, whether as a whole or in part thereof, are necessary for the understanding of the operation or implementation of any of the embodiments of the present invention by the intended reader, then said contents should
5 be taken as being incorporated herein by said reference thereto.

CLAIMS

1. An image processing method for detecting objects within an input image, the image being composed of picture elements, the method comprising:
 - 5 a) segmenting picture elements representing a foreground object within the input image from those picture elements forming the image background using a first segmentation technique, wherein the picture elements segmented as foreground include elements representing a shadow or highlight of the object; and
 - b) segmenting picture elements which have the characteristics of a shadow or highlight of an object from those picture elements representing the foreground object
10 using at least one other segmentation technique adapted to detect shadows and/or highlights;
the method being characterised by further comprising the steps of:-
 - c) segmenting as foreground surrounding picture elements to those picture
15 elements which are already segmented as foreground;
 - d) repeating step c) until picture elements which were not segmented as foreground after step a) would be or are segmented as foreground; and then
 - e) detecting as objects groups of adjacent picture elements which have been segmented as foreground.
- 20 2. An image processing method as claimed in claim 1, and further characterised in that the segmenting step a) further comprises:
for each picture element of the input image which is to be segmented as foreground, validating the foreground segmentation by comparison of the picture element
25 with probability models relating to surrounding picture elements, wherein the foreground segmentation is confirmed if at least half of the models indicate that the picture element is foreground.
3. A computer program or suite of computer programs arranged such that when
30 executed by a computer they control the computer to perform the method of any of the preceding claims.
4. A computer readable storage medium storing a computer program or at least on
of a suite of computer programs according to claim 3.

5. An image processing system for detecting objects within an input image, the image being composed of picture elements, the system comprising:-

image processing means arranged to receive an input image to be processed, and to apply the following image processing operations thereto:-

5 a) to segment picture elements representing a foreground or moving object within the input image from those picture elements forming the image background using a first segmentation technique adapted to detect differences in the input image from a general background image, wherein the picture elements segmented as foreground include elements representing a shadow or highlight of the object;

10 b) to segment picture elements which have the characteristics of a shadow or highlight of an object from those picture elements representing the foreground object using at least one other segmentation technique adapted to detect shadows and/or highlights;

and being characterised by further applying the following operations:-

15 c) to repeatedly segment as foreground surrounding picture elements to those picture elements already segmented as foreground until picture elements which were not segmented as foreground after step a) would be or are segmented as foreground ; and then

20 e) to detect as objects groups of adjacent picture elements which have been segmented as foreground.

6. An image processing system as claimed in claim 5, and further characterised in that the image processing means is further arranged, for each picture element of the input image which is to be segmented as foreground as a consequence of the segmentation a),
25 to validate the foreground segmentation by comparison of the picture element with probability models relating to surrounding picture elements, wherein the foreground segmentation is confirmed if at least half of the models indicate that the picture element is foreground.

Object Detection in Images

The invention applies a segmentation operation to an input image to identify foreground objects of interest, and then applies a shadow removal operation to remove any detected shadows from the foreground segmentation. The shadow removal algorithms can leave holes and bisections in the segmentation map, however, which will then subsequently impact on an object detection step performed using connected component analysis. To get around this problem, the invention applies a conditional morphological dilation operation to the segmentation map to "grow" the segmented blobs to fill in any holes and bisections, without re-growing shadow pixels in the segmentation. The result is an object detection method and system which is robust to illumination changes causing shadows and/or highlights.

Figure (2)

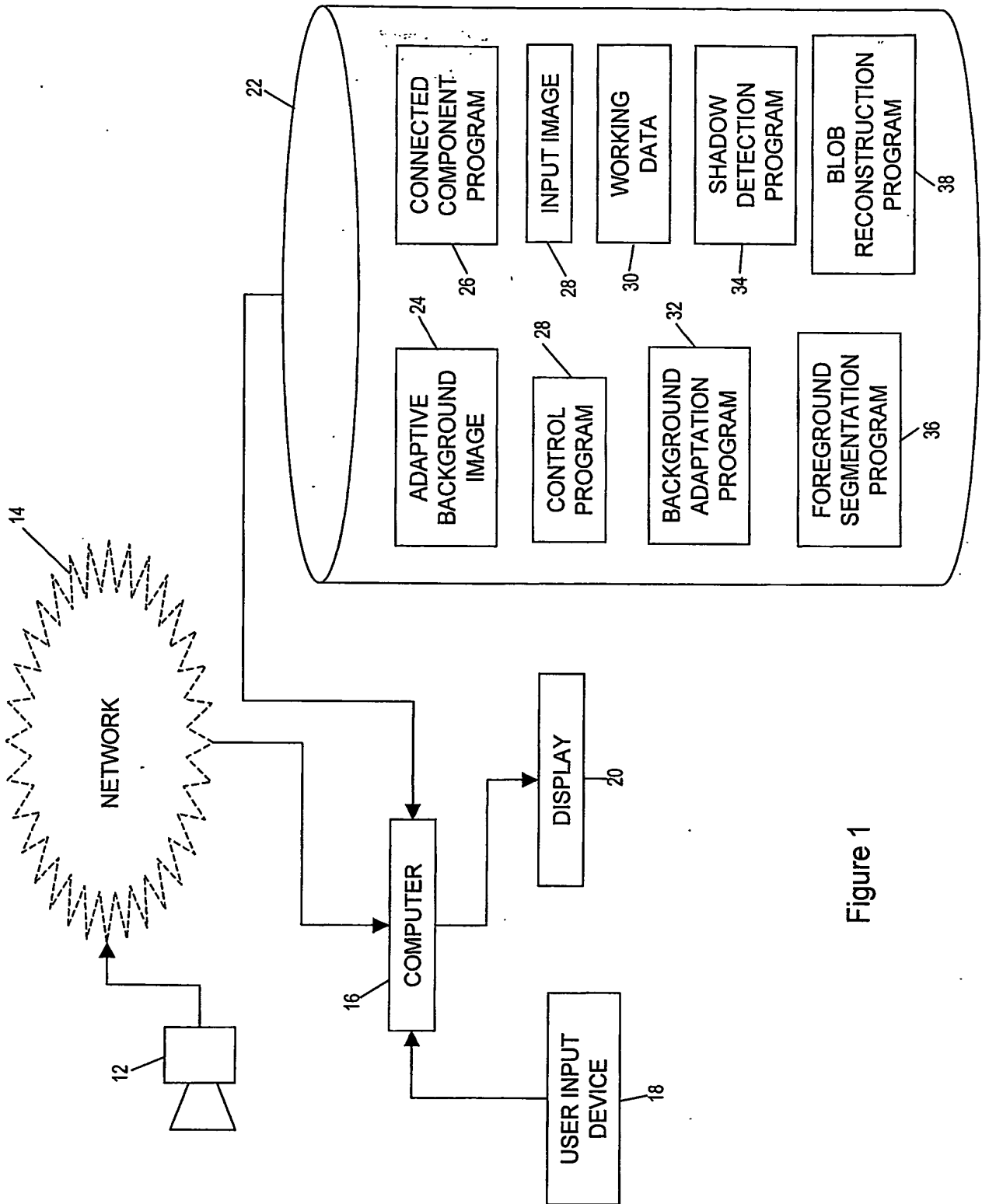
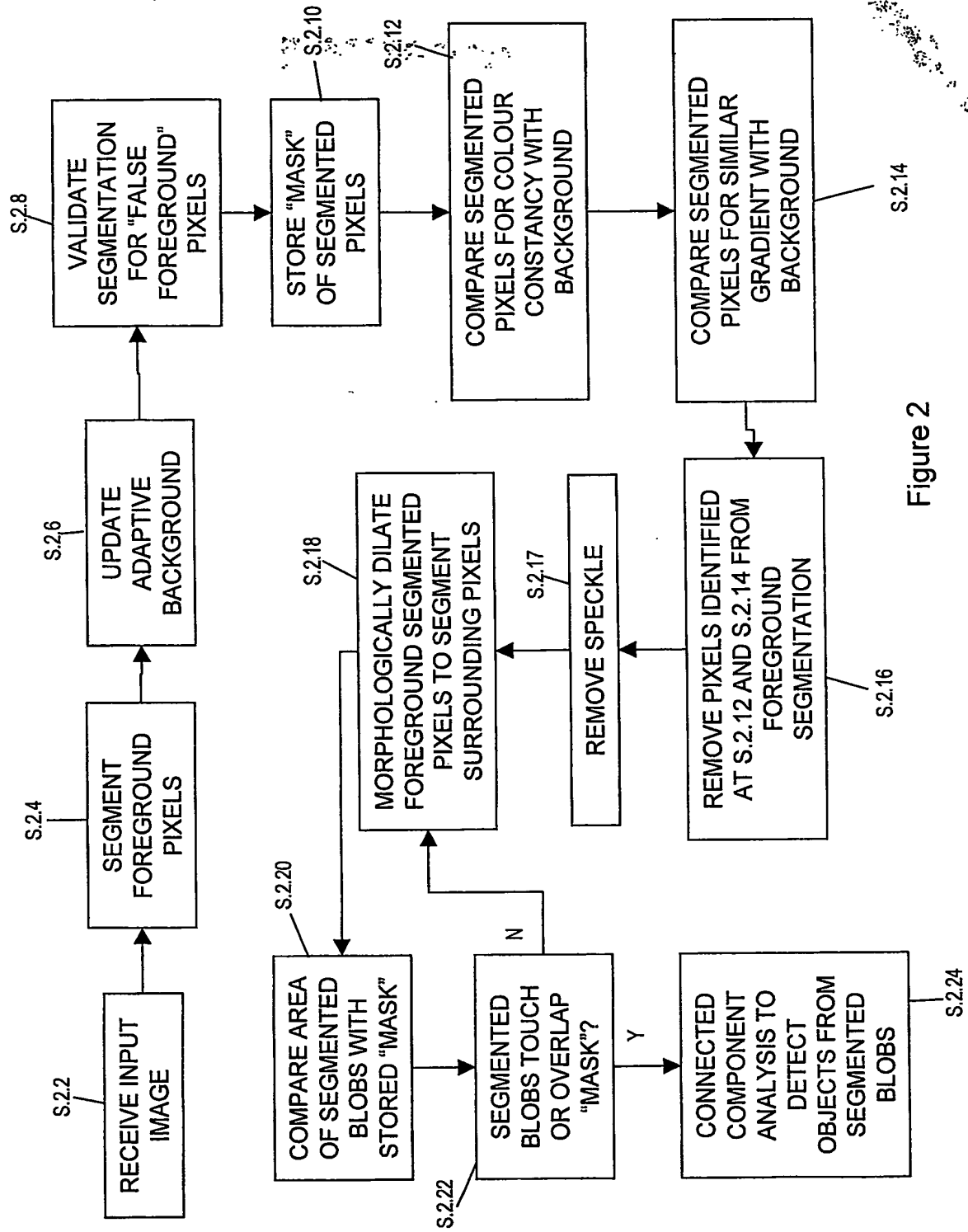
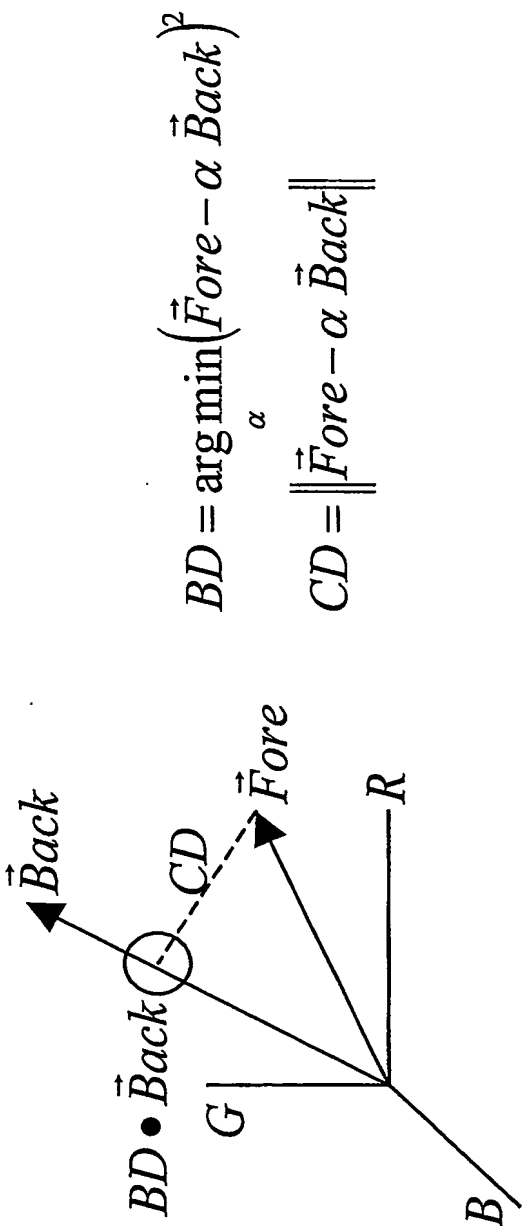


Figure 1





$$BD = \arg \min_{\alpha} (\vec{Fore} - \alpha \vec{Back})^2$$
$$CD = \|\vec{Fore} - \alpha \vec{Back}\|$$

Figure 3

0	1	0
1	0	1
0	1	0

Figure 4

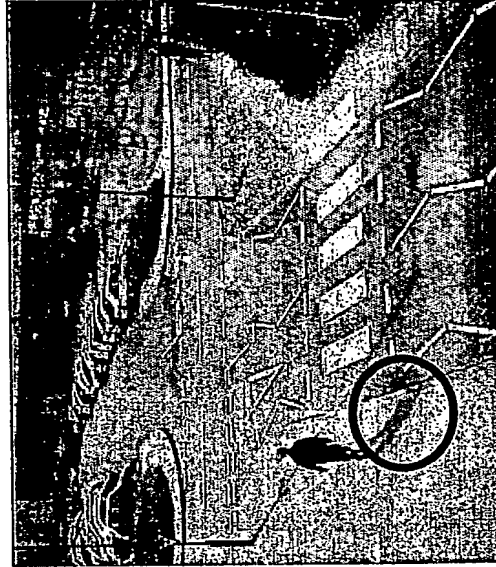


Figure 6

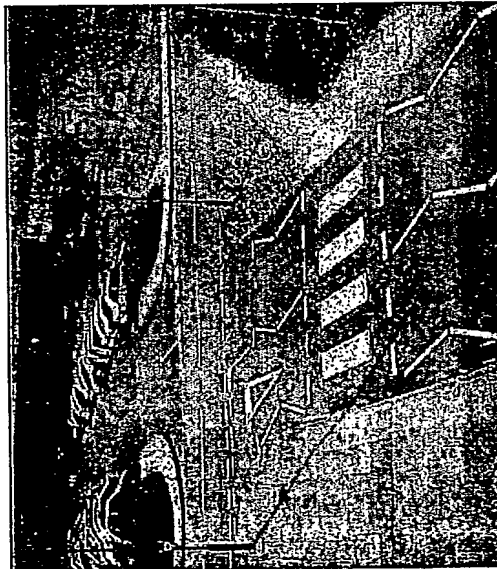


Figure 5



Figure 8

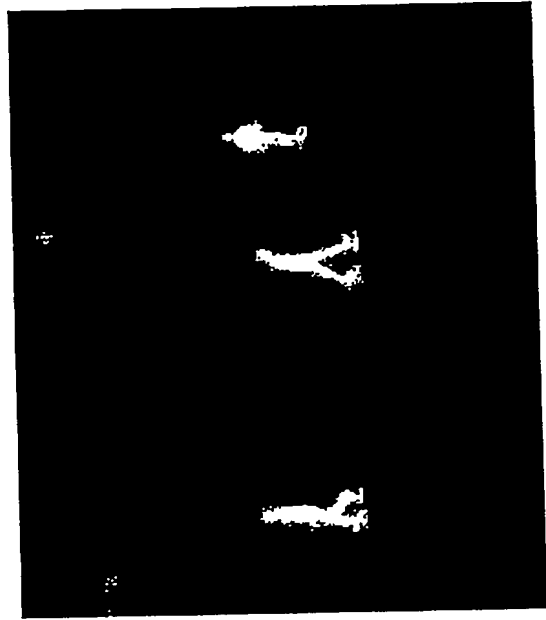


Figure 10



Figure 7

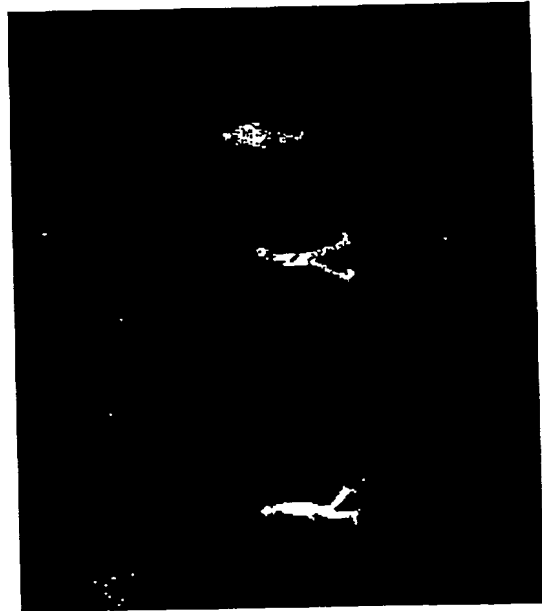


Figure 9

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER: _____**

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.